

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

In re SSA Bonds Antitrust Litigation

Case No. 16-cv-03711 (ER)

SHEET METAL WORKERS PENSION PLAN
OF NORTHERN CALIFORNIA, and IRON
WORKERS PENSION PLAN OF WESTERN
PENNSYLVANIA, on behalf of themselves and
all others similarly situated,

Plaintiffs,

- against -

BANK OF AMERICA CORPORATION;
BANK OF AMERICA, N.A.; MERRILL
LYNCH, PIERCE, FENNER & SMITH INC.;
CRÉDIT AGRICOLE S.A.; CRÉDIT
AGRICOLE CORPORATE AND
INVESTMENT BANK; CREDIT SUISSE AG;
CREDIT SUISSE GROUP AG; CREDIT
SUISSE INTERNATIONAL; CREDIT SUISSE
SECURITIES (USA) LLC; DEUTSCHE BANK
AG; DEUTSCHE BANK SECURITIES INC.;
NOMURA HOLDINGS, INC.; NOMURA
INTERNATIONAL PLC; NOMURA
SECURITIES INTERNATIONAL, INC.,
HIREN GUDKA; AMANDEEP SINGH
MANKU; SHAILEN PAU; and BHARDEEP
SINGH HEER,

Defendants.

INTER-LOCAL PENSION FUND GRAPHIC
COMMUNICATIONS CONFERENCE OF THE
INTERNATIONAL BROTHERHOOD OF
TEAMSTERS, on Behalf Of Itself and all Others
Similarly Situated.

Plaintiff,

Case No. 16-cv-04603 (ER)

Case No. 16-cv-05011 (ER)

-against-

BANK OF AMERICA CORPORATION;
BANK OF AMERICA, N.A.; MERRILL
LYNCH, PIERCE, FENNER & SMITH,
INC.; BANK OF AMERICA
MERRILL LYNCH INTERNATIONAL
LIMITED; CREDIT AGRICOLE S.A.; CREDIT
AGRICOLE CORPORATE AND
INVESTMENT BANK; CREDIT SUISSE
GROUP AG; CREDIT SUISSE AG; CREDIT
SUISSE INTERNATIONAL; CREDIT SUISSE
SECURITIES (USA) LLC; DEUTSCHE BANK
AG; DEUTSCHE BANK SECURITIES INC.;
NOMURA INTERNATIONAL PLC; NOMURA
HOLDINGS, INC.; NOMURA SECURITIES
INTERNATIONAL, INC.; HIREN GUDKA;
BHARDEEP SINGH HEER; AMANDEEP
SINGH MANKU; and SHAILEN PAU,

Defendants.

CITY OF BRISTOL PENSION FUND, on
behalf of itself, and, in a representative capacity,
on behalf of all those similarly situated,
Plaintiff,

-against-

BANK OF AMERICA CORPORATION;
BANK OF AMERICA, N.A.; MERRILL
LYNCH, PIERCE, FENNER & SMITH, INC.;
CREDIT AGRICOLE S.A.; CREDIT
AGRICOLE CORPORATE AND
INVESTMENT BANK; CREDIT AGRICOLE
SECURITIES (USA) INC.; CREDIT SUISSE
SECURITIES (USA) LLC; CREDIT SUISSE
GROUP AG; CREDIT SUISSE
INTERNATIONAL; DEUTSCHE BANK
SECURITIES INC.; DEUTSCHE BANK AG;
NOMURA HOLDINGS, INC.; NOMURA
INTERNATIONAL PLC; NOMURA
SECURITIES INTERNATIONAL, INC.;
HIREN GUDKA; AMANDEEP SINGH

Case No. 16-cv-05203 (ER)

MANKU; SHAILEN PAU; BHARDEEP
SINGH HEER; JOHN DOE DEFENDANTS
NOS. 1-100,

Defendants.

ASBESTOS WORKERS PHILADELPHIA
WELFARE AND PENSION FUND, on behalf
of itself and all others similarly situated,

Plaintiffs,

-against-

BANK OF AMERICA, N.A; MERRILL
LYNCH, PIERCE, FENNER & SMITH INC.;
BANK OF AMERICA MERRILL LYNCH
INTERNATIONAL LTD.; CRÉDIT
AGRICOLE CORPORATE AND
INVESTMENT BANK; CREDIT SUISSE AG;
CREDIT SUISSE GROUP AG; CREDIT
SUISSE INTERNATIONAL; CREDIT SUISSE
SECURITIES (USA) LLC; DEUTSCHE BANK
AG; DEUTSCHE BANK SECURITIES INC.;
NOMURA INTERNATIONAL PLC, NOMURA
SECURITIES INTERNATIONAL, INC.,
HIREN GUDKA; AMANDEEP SINGH
MANKU; SHAILEN PAU; and BHARDEEP
SINGH HEER,

Defendants.

Case No. 16-cv-05269 (ER)

OKLAHOMA POLICE PENSION AND
RETIREMENT SYSTEM, on behalf of itself,
and, in a representative capacity, on behalf of all
those similarly situated,

Plaintiff,

-against-

BANK OF AMERICA CORPORATION;
BANK OF AMERICA, N.A.; MERRILL
LYNCH, PIERCE, FENNER & SMITH, INC.;
CRÉDIT AGRICOLE S.A.; CRÉDIT
AGRICOLE CORPORATE AND

Case No. 16-cv-06133 (ER)

INVESTMENT BANK; CRÉDIT AGRICOLE SECURITIES (USA) INC.; CREDIT SUISSE SECURITIES (USA) LLC; CREDIT SUISSE GROUP AG; CREDIT SUISSE AG; CREDIT SUISSE INTERNATIONAL; DEUTSCHE BANK SECURITIES, INC.; DEUTSCHE BANK AG; NOMURA HOLDINGS, INC.; NOMURA INTERNATIONAL PLC; NOMURA SECURITIES INTERNATIONAL, INC.; HIREN GUDKA; AMANDEEP SINGH MANKU; SHAILEN PAU; BHARDEEP SINGH HEER; and JOHN DOE DEFENDANTS NOS. 1-100,

Defendants.

**NOMURA SECURITIES INTERNATIONAL, INC.'S
RULE 7.1(a) DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1(a) and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualifications or recusal, the undersigned counsel for Defendant Nomura Securities International, Inc. hereby certifies as follows:

Nomura Holdings, Inc., a non-party publicly held corporation, is the parent company and 100% owner of Nomura Holding America Inc., a non-party private company. Nomura Holding America Inc. is the parent company and 100% owner of Defendant Nomura Securities International, Inc.

Dated: October 14, 2016

Respectfully submitted,

PAUL, WEISS, RIFKIND,
WHARTON & GARRISON LLP

By: /s/ Aidan Synnott

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International, Inc.*